# Theoretical Assessment of Corannulene-Based Aggregates as High-Performance Nonlinear Optical Materials



## MS Thesis

by

Sobia Waheed

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## Theoretical Assessment of Corannulene-Based

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## Materials

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In partial fulfillment of the requirements for the degree of

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This thesis is submitted to the Department of Chemistry in partial fulfilment of the requirements for the award of the degree of Master of Science in Chemistry.



#### **Supervisory Committee**

**Supervisor** Dr. Mazhar Amjad Gilani Associate Professor Department of Chemistry COMSATS University Islamabad (CUI) Lahore campus **Member** Dr. Sobia Tabassum Associate Professor Interdisciplinary Research Centre in Biomedical Materials (IRCBM) COMSATS University Islamabad (CUI) Lahore campus

#### **Member**

Dr. Muhammad Shahid Nazir Associate Professor Department of Chemistry COMSATS University Islamabad (CUI) Lahore campus

### **Certificate of Approval**

This thesis titled

### Theoretical Assessment of Corannulene-Based Aggregates as High-Performance Nonlinear Optical Materials

By

Sobia Waheed CUI/SP22-R06-024/LHR

has been approved

for the Degree of Master) of Science in Chemistry at COMSATS University slamabad, Lahore Campus

**External Examiner:** 

Supervisor:

Head of Department:

Dr. Riaz Hussain (Assistant Professor) Department of Chemistry University of Okara, Okara, Punjab, Pakistan

Dr. Mazhar Amjad Gilani (Associate Professor) Department of Chemistry

CUI, Lahore campus

1

Prof. Dr. Zulliqar Ali Department of Chemistry CUI, Lahore campus

## **Author's Declaration**

I Sobia Waheed, CIIT/SP22-R06-024/LHR, hereby declare that I have produced the work presented in this thesis, during the scheduled period of study. I also declare that I have not taken any material from any source except referred to wherever due to that amount of plagiarism is within an acceptable range. If a violation of HEC rules on research has occurred in this thesis, I shall be liable to punishable action under the plagiarism rules of HEC.

Date:

Sobia Waheed

CIIT/SP22-R06-024/LHR

## **Certificate**

It is certified that Sobia Waheed, CIIT/SP22-R06-024/LHR, has carried out all the work related to this thesis under my supervision at the Department of Chemistry, COMSATS University Islamabad, Lahore campus and the work fulfils the requirements for the award of the degree of MS in Chemistry.

Date: \_

Supervisor

Dr. Mazhar Amjad Gilani

Associate Professor

Department of Chemistry

COMSATS University Islamabad

Lahore Campus

**Dedication**

 **To My Beloved Parents**

### **Acknowledgments**

All praises are for **Allah** who is the **Creator** of the Universe. He is the most beneficent and most **merciful.** All gratitude and prayers belong to **Him** and peace be upon **Hazrat Muhammad (S.A.W)** His last messenger and servant. I am deeply grateful to **Allah Almighty** for giving me the strength to apprehend my work and for enabling me to stand strong and optimistic in ups and downs during the whole time.

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Sobia Waheed

#### CIIT/SP22-R06-024/LHR

### **Abstract**

## **Theoretical Assessment of Corannulene-Based Aggregates as High-Performance Nonlinear Optical Materials**

#### **BY**

#### **Sobia Waheed**

The growing use of nonlinear optical (NLO) materials in various fields has generated interest in designing innovative smart NLO materials. This study focuses on enhancing the nonlinear optical response through doping of alkali metals on the corannulene  $(C_{20}H_{10})$  dimer through Density Functional Theory (DFT) calculations. The interaction energies calculated computationally confirm the stability of the newly designed alkali metal-doped cncx dimers. Alkali metal doping, particularly with Li, Na, and K, leads to a significant reduction in the  $E_{(H-L)}$  gap, and the lowest energy gap of 3.17 *eV* is observed in K-doped cncx dimer. The TD-DFT study shows that these alkali metal doped complexes have *λmax* in the visible regions(568-576nm). Total density of states (TDOS) spectra support the involvement of dimer in forming new Highest Occupied Molecular Orbital (HOMO). Natural Bond Orbital (NBO) analysis validates substantial charge transfer from alkali metals to dimer, with the highest charge transfer (0.938 *|e|)* observed in the K@cncx complex. Doping with alkali metals enhances the first hyperpolarizability, and Li@cncx exhibits the highest value (9.3×10<sup>4</sup> *au*). The study also determines frequency-dependent Second Harmonic Generation (SHG), Electric-Optical Pockels Effect (EOPE), electro-optic dc-Kerr effect (EOKE). The value of  $3.4 \times 10^4$  au is observed for SHG, while for EOPE the value is  $4.6 \times 10^5$  au. A significantly enhanced EOKE value  $(1.0 \times 10^{10} \text{ au})$  is shown by Na@cncx. Additionally, these structures exhibit a high nonlinear quadratic refractive index (a maximum value of  $9.9 \times 10^{-18}$  cm<sup>2</sup> W<sup>-1</sup>). In conclusion, the study provides guidelines for computationally designing efficient and thermodynamically stable complexes for optical and optoelectronic technologies.

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## **List of Abbreviations**



### E<sub>int</sub> Interaction Energies

Eg Energy Gap

cxcx Convex-Convex

- cncn Concave-Concave
- cncx Concave-Convex
- AM@cncx Alkali metal doped dimer

# **Chapter 1 Introduction**

#### <span id="page-15-1"></span><span id="page-15-0"></span>**1.1 Origin of Nonlinear Phenomena**

Theoretical basis for nonlinear phenomena was established in the 1930s. With the invention of lasers in the 1960s, nonlinear effects were first observed. Laser lights have high intensities, so they are very important to produce the nonlinear response of material.

#### <span id="page-15-2"></span>**1.2 Optics**

The field of optics explores how electromagnetic waves interact with matter, commonly dealing with infrared (IR), ultraviolet (UV), and visible light. when light interact with matter then the material respond differently. Interactions between matter and light can be either linear or nonlinear. The polarization field is directly linked to the incident electric field if the intensity of light is low.

#### <span id="page-15-3"></span>1.2.1 Linear Optics

Linear optics, being independent of light intensity, results in no alteration of light properties, as presented in **Figure 1.1**. Even though light may be redirected away from the material, its frequency remains unchanged. When employing a linear approach, an insufficient external field is no longer a factor to consider.



Figure 1.1: Illustration of linear optical response

#### <span id="page-16-1"></span><span id="page-16-0"></span>1.2.2 Nonlinear optics

When a material encounters a strong laser beam with an electromagnetic field, it produces electromagnetic radiations with different phases, frequencies, and amplitudes as it moves, causing NLO phenomena as shown in **Figure 1.2**. Under the influence of such high-intensity light, the optical traits of the medium can be altered, introducing processes not observed in materials that respond linearly to optical forces. These phenomena can result in alterations to the spectral, spatial, or polarization characteristics of the light beam and can even lead to the creation of entirely new frequency components.



Figure 1.2: Nonlinear optical effect

<span id="page-16-2"></span>The interaction between laser beam and material results in the generation of electric polarization. This, in turn, gives rise to a variety of unique and captivating optically nonlinear characteristics, facilitating the potential for achieving phase matching or index matching. Nonlinear optics include the following effects such as second harmonic generation, parametric effects, sum and difference frequency mixing, frequency up and down conversion, parametric amplification and oscillation, four-wave mixing phenomena, Raman effect, Brillouin effect, and the Optical Kerr effect. The propagation of light in nonlinear optical media is accompanied by self-phase modulation. In Second Harmonic Generation (SHG) when the light falls on the materials then there will be the transformation of light signals, resulting in an output wave with a frequency exactly twice that of the initial wave [1] as presented in **Figure 1.3**.



Figure 1.3: Second harmonic generation

#### <span id="page-17-2"></span><span id="page-17-0"></span>1.2.3 Linear and Nonlinear polarization

When electric field of light interact with the matter then the material' polarization takes place. This polarity in response to the external field leads to a broad spectrum of outputs, which may involve oscillations at comparable or distinct frequencies.

#### <span id="page-17-1"></span>**1.4 Applications of Nonlinear Optics**

NLO materials are such materials that has wide applications in many fields like electro-optic and photonic devices, optical switching, optical limiting, optical communications, signal processing, sensing and so on.

#### <span id="page-18-0"></span>**1.5 Nonlinear Optical Materials**

#### <span id="page-18-1"></span>1.5.1 Inorganic NLO materials

Certain well-established oxide nonlinear optical (NLO) materials, including borates like β-BaB<sub>2</sub>O<sub>4</sub> (BBO) [27] and LiB<sub>3</sub>O<sub>5</sub> (LBO) [28], as well as phosphates such as  $KH_2PO_4(KDP)$  [29] and KTiOPO<sub>4</sub> (KTP) [30], are capable of meeting practical needs in the UV and vis-NIR spectral ranges, respectively. Although inorganic materials exhibit a good NLO response, their application is hindered by a low laser damage threshold [31].

#### <span id="page-18-2"></span>1.5.2 Organic NLO materials

Organic materials have garnered significant attention as viable alternatives to their inorganic counterparts due to their enhanced linearity, higher optical susceptibilities [19], faster response times, and greater resistance to damage [32]. When compared to inorganic materials, organic counterparts offer several distinct advantages. They stand out for their rapid and substantial nonlinear response across a wide frequency spectrum. This, coupled with their inherent synthetic adaptability and high optical damage threshold, imparts remarkable properties to these materials [1].

The exceptional optoelectronic characteristics of organic single crystals stem from the presence of delocalized electrons within organic molecules, specifically in conjugated electron systems. These systems manifest various photoresponses, including photoconductive, photovoltaic, and photocatalytic behaviours.

#### <span id="page-18-3"></span>**1.6 Buckybowls**

In 1985, Kroto and his colleagues pioneered the discovery of fullerene, a novel allotropic form of carbon [33]. Fullerenes are carbon structures characterized by sp2 hybridization, forming polyhedral cages with resonating π electrons [34, 35]. The versatile properties of fullerenes have made them a focal point of research in diverse fields, notably in biomedical [36] , information technology [37] , optoelectronics [38]. Utilizing fullerenes in organic electronics, especially in areas like photovoltaic applications and molecular wires, not only advances research but also underscores the viability of these carbon allotropes for tangible, practical applications [39].

Buckyballs, such as spherical fullerenes like  $C_{60}$ , are stable carbon clusters featuring 20 hexagons and 12 pentagons, giving them the appearance of a soccer ball [40]. Buckybowls form intermolecular complexes [41-46]. Given their remarkable and superior qualities, these compounds stand out as excellent candidates for applications in molecular electronics, material sciences, and optoelectronics [47].

Buckyball has numerous uses in a variety of fields [48, 49]. The structure of these buckybowls can be manipulated by changing size, shape and edge geometry [50].

Corannulene  $(C_{20}H_{10})$  and its derivatives, known as buckybowls, have been extensively studied and reviewed for their potential applications in different fields. Barth and Lawton were the first to successfully synthesize corannulene in 1966 [51]. X-ray investigation confirmed that the molecule is structured in the shape of a bowl with a depth of 0.87 Å [52]. Corannulene [47, 53-64] is frequently characterized as the smallest fragment of fullerene  $C_{60}$  that maintains a curved molecular structure. It has bowl like structure having concave side and convex side.Its structure is composed of five benzene rings fused with central pentane ring [65]. Carbon atoms of the corannulene provide distinct π-electron densities to the structure [66].

#### <span id="page-19-0"></span>**1.7 Aggregates**

The transfer of electrons within aggregates is influenced by linker compounds, actively participating in the delocalization of electrons across the structure. Structures formed by noncentrosymmetric organic molecules through repetitive  $\pi$ - $\pi$  stacking demonstrate nonlinear optical (NLO) traits [67]. Aggregates not only influence the emissions but also have wide range of applications in many fields [68].

The dimer of corannulene exist in the various  $\pi-\pi$  stackings like concave-convex, convex-convex, concave-concave. Most interactions in  $\pi-\pi$  stacking involve molecules connecting through  $\pi-\pi$  interactions and these interactions are involved in enhancing the NLO response [69]. Both inter- and intramolecular interactions within corannulene exert a substantial influence on its physical and chemical traits.

### <span id="page-20-0"></span>**1.8 Leveraging of π–π interaction of Buckybowls in NLO Material Engineering**

The substantial  $\pi-\pi$  interaction significantly boosts charge carrier mobility, suggesting a highly advantageous scenario [70]. The unique curved surface, boasting  $\pi$ -electrons and taking on a bowl-shaped, geodesic polycyclic aromatic hydrocarbon (PAH) structure [71, 72].  $\pi-\pi$  interactions are important in the formation of supramolecular assemblies. Corannulene molecules are involved in the formation of supramolecular assemblies such as corannulene -fullerene assemblies exist in gas phase [73]. Due to strong  $\pi-\pi$  interactions different energetically stable configurations are present. The concave–convex alternative is considered the most energetically favourable arrangement as it maximizes the van der Waals contacts between the dimer. Significant  $\pi-\pi$  interactions exist among the buckybowls. Different interactions between the convex face of buckybowls and the concave surface of corannulene were confirmed by density functional theory [74]. A significant portion of these interactions include dispersion forces, which play a vital role in supramolecular assemblies.

#### <span id="page-20-1"></span>**1.9 Research Gap**

A high nonlinear optical response is the prerequisite for a material to be employed in optoelectronics. Alkali metal doped aggregates of corannulene have strong  $π$ -π interactions that result in the high transition dipole moment of these aggregates. Due to the high transition dipole moment these aggregates are expected to show high nonlinear optical response and may act as stable NLO materials.

#### <span id="page-20-2"></span>**1.10 Current investigation**

Corannulene concave convex dimer doped with Li, Na, and K metals to examine its NLO characteristics.

### <span id="page-21-0"></span>**1.11 Objectives**

- 1. To investigate the geometrical parameters of corannulene-based aggregates
- 2. To explore the charge transfer behaviour of designed aggregates
- 3. To explore the intermolecular interactions of aggregates
- 4. To investigate the polarizability and hyperpolarizability of designed aggregates

# **Chapter 2 Literature Review**

<span id="page-22-0"></span>The phenomena of nonlinear optics came into light in 1961. Peter and his team fall red light on the quartz and in turn near-UV light came out 1961, [75]. Within short time other scientist conducted experiments and concluded that there is influence of electric field of light on the frequency modulation of light signals [76]. Franken et al, discovered the nonlinear optical effect such as second harmonic generation [76]. The aggregation of corannulene was reported in 1992, revealing the formation of a sandwich structure with four lithium ions [77].

Calix [4]arene molecule has hyperpolarizability that is significantly enhanced by the presence of alkali metal atoms. Among them, the  $Li@calix[4]$ arene complex exhibits the greatest increase in nonlinear optical (NLO) response [78, 79]

These concave–convex  $\pi$ -faces, with their asymmetrical polarization, are especially appealing for supramolecular assembly. Corannulene has concave and convex faces [80]. The NLO response of  $Li@calix[4]$ pyrrole and  $Li+(calix[4]pyrrole)$  was investigated by Chen et al. A hyperpolarizability value between  $7.33 \times 10^3$  au and 2.45  $\times$  10<sup>4</sup> au was computed [17].

In 1964, Franken and his team observed second-harmonic generation (SHG) for the first time in an organic material [81]. A theoretical investigation in a different study explored the influence of doping revealing a considerable increase in the first hyperpolarizabilities.

Alkali metal doped  $Al<sub>12</sub>N<sub>12</sub>$  nanocage was studied through ab initio calculations. Through these calculations it was revealed that due to the doping a significant hyperpolarizability upto  $8.89 \times 10^5$  au was observed [82].

Sohail *et al*, concluded that dimers are thermodynamically stable. Buckybowls exist in supramolecular assemblies and dimers. In the buckybowls there was maximum charge observed due to the formation of aggregates [83].The concave and convex faces fit together, forming a close  $\pi-\pi$  dimer [84]. Dimers exhibit the high thermal stability [85]. Liu et al, revealed that corannulene exist in the form of stacking. These stacking had significant hyperpolarizability [86].

The polarizability and first hyperpolarizability of Phosphides or nitrides nano-cages are dramatically enhanced by the alkali metal atoms [87].In the theoretical study of goldgermanium bimetallic clusters it was explored that doping has influence on the NLO properties of material [88].

The doping approach is the main factor influencing the potassium-doped  $B_{12}P_{12}$ nanocage's hyperpolarizability value of  $7.9 \times 10^5$  *au* [89]. Alkali metal adsorbed graphene, graphyne and graphdiyne has greatest increase in NLO response [90]**.**The nonlinear optical effect was initially described and predicted by Maria Goeppert Mayer in 1931, but it remained a theoretical concept until thirty years later when Kaiser and Garrett observed the nonlinear effect in crystals. The invention of the Kerr lens modelocked femtosecond laser in 1991 played a pivotal role in advancing this field [91].

In a study carried out by Ali Ahmadi Peyghan *et al*., it was revealed that the doping has impact in changing the electronic properties of the system Additionally, alkali metal doping modifies the material's conductive characteristics. There was a shift in the HOMO–LUMO energy gap from 3.77 *eV* to 1.11–1.95 *eV* [92].

The most thermodynamically stable compound is the Li-doped  $C_{24}$  complex, with an interaction energy of -190.78 *kcal/mol*. The initial hyperpolarizability is greatly increased by doping with alkali metal atoms (Li, Na, and K), with  $Na_3C_24$  showing the highest value of  $2.74 \times 10^5$  au among all C<sub>24</sub> complexes [93].

Different studies show that the doping causes thermodynamic stability, increase in the hyperpolarizability value. In another study,  $Na_3C_24$  exhibit the hyperpolarizability up to  $2.74 \times 10^5$  *au* after doping with alkali metals.

The largest value of (910,706.43 *au*) was recorded in K (BC)Ca, which is boosted by the higher transition dipole moment *(Δμ)* [94]. In lithium atom-doped hexalithioborazine (B<sub>3</sub>N<sub>3</sub>L<sub>16</sub>-L<sub>i</sub>), the dipole moment is measured at 1.608 *D*, indicating charge transfer. Notably, these complexes show a maximum in charge transfer [95].

Muhammad Rashid et al. investigated The Li@BP nanosheet's Isomer II-Li exhibits the maximum binding energy, measured at −26.36 *kcal/mol* [23].

### **Chapter 3**

### **Computational Methodology**

#### <span id="page-25-1"></span><span id="page-25-0"></span>**3.1 Geometry Optimization and Frequency Analysis**

For calculations and visualizations of geometries Gaussian 16 software and Gauss View 6.1.1, [96] [97]. The geometries were visualized using Gauss View 6.1.1, [96]. *ωB97XD/6-31G+(d,p)* level of theory was used for the optimization of all the complexes. *ωB97XD* is extensively utilized for accurate computations of non-covalent interactions [98]. On the same level of theory, frequency calculations were carried out to validate the true minima.

#### <span id="page-25-2"></span>**3.2 Interaction Energies and Other Ground State Properties**

Natural bond orbital (NBO) analysis, Interaction energies *(Eint)* and energy gap were calculated at  $\omega B97XD/6-31G+(d,p)$  to explore the charge transfer, thermodynamic stability of the complexes. The  $E_{int}$  for alkali metal-doped cncx complexes was obtained using the following equation:

$$
E_{int} = E_{AM\textcircled{e}cncx} - (E_{cncx} + E_{AM})
$$
\n(1)

Where,  $E_{AM@cncx}$  = Energy of complex

 $E_{\text{cncx}}$ = Energy of dimer

EAM= Energy of alkali metal

To calculate the energy gap of all the complexes the following euation can be used :

$$
E(HOMO)-(LUMO)} = ELUMO - ELUMO
$$
 (2)

Where  $E_{(HOMO-LUMO)}$  is the energy of band gap

E<sub>HOMO</sub>=Energy of HOMO

ELUMO= Energy of LUMO

#### <span id="page-26-0"></span>**3.3 Density of States Analysis**

The total density of state (TDOS) and partial density of state (PDOS) of the metal doped dimer were visualized using Multiwfn software [99].

### <span id="page-26-1"></span>**3.4 Dipole Moment, Excitation Energies, and Absorption Characteristics**

By using  $\omega B97XD/631+G$  (*d, p*), excited-state dipole moment ( $\mu$ ) and excitation energies *∆E*

were estimated. The same functional and basis set is used to compute  $\lambda_{\text{max}}$  of both cncx and AM@cncx complexes.

#### <span id="page-26-2"></span>**3.5 Dipole moment and Polarizability**

The dipole moment plays a key role in determining nonlinear optical characteristics. With higher dipole moment values, there is increased charge dispersion, leading to an enhanced nonlinear optical response. The dipole moment and polarizability can be expressed as;

$$
\mu_{0} = (\mu_{x}^{2} + \mu_{y}^{2} + \mu_{z}^{2})^{1/2}
$$
\n(3)

$$
\alpha_{\circ} = \frac{1}{3} \left( \alpha_{xx} + \alpha_{yy} + \alpha_{zz} \right) \tag{4}
$$

#### <span id="page-26-3"></span>**3.6 Hyperpolarizability**

*The CAM-B3LYP/6-31+G(d,p)* method was used to calculate the refractive indices *(n2),*  static hyperpolarizability  $(\beta_s)$ , dynamic hyperpolarizability, and second hyperpolarizability *(γ).*

$$
\beta_{\circ} = \left[\beta_x^2 + \beta_y^2 + \beta_z^2\right]^{1/2} \tag{5}
$$

Where,

$$
\beta_x = \beta_{xxx}, \beta_{xyy}, \beta_{xzz}
$$

$$
\beta_y = \beta_{yyy}, \beta_{yzz}, \beta_{yxx}
$$

$$
\beta_z = \beta_{zzz}, \beta_{zxx}, \beta_{zyy}
$$

And the second hyperpolarizability can be defined as:

$$
\gamma_{tot} = \sqrt{\gamma_x^2 + \gamma_y^2 + \gamma_z^2} \tag{6}
$$

### <span id="page-27-0"></span>**3.7 Frequency Dependent Calculations**

The first dynamic hyperpolarizability can be expressed as:

$$
\beta_{(\omega)} = (\beta_x(\omega)^2 + (\beta_y(\omega)^2 + (\beta_z(\omega)^2))^1)
$$
\n
$$
(\beta_z(\omega)^2)^{1/2}
$$
\n(7)

The traditional sum-over-state (SOS) equation was used to calculate quadratic nonlinear refractive indices *(n2)* and dynamic second hyperpolarizabilities *(γ (ω))* at different wavelengths such as 1340, 1550, 1907 *nm*.

# **Chapter 4 Results and Discussion**

#### <span id="page-28-1"></span><span id="page-28-0"></span>**4.1 Geometric and Energetic Analyses**

The corannulene concave-convex (cncx) dimer was optimized using the *ωB97XD/6- 31G+(d,p)* level of theory. The structure of corannulene comprises one 5-membered ring surrounded by five 6-membered rings. There are distinct C-C bonds namely, rim, flank, spoke and hub as presented in **Figure 4.1.** The calculated bond lengths rim (1.38 Å), flank (1.38 Å), spoke (1.38 Å), and hub (1.41 Å) align well with the bond lengths observed experimentally for the corannulene molecule [100]. The chosen *ω*B97XD/6- 31G+ (d, p) is reliable for the calculation of dimer because of the agreement between theoretical and experimental results.

There are six doping positions on corannulene such as rim (Rr), hub (Rh), spoke (Rs), flank (Rf), centre of 6-membered ring (R6) and centre of 5- membered **ring** (R5) as shown in **Figure 4.1.**



<span id="page-29-0"></span>Figure 4.1: Possible doping positions to optimize alkali metals on cncx dimer.

Corannulene displays various stacking models, including concave-concave (cncn), concave-convex (cncx), and convex-convex (cxcx). The most stable among them is the concave-convex(cncx) dimer [101]. In the present study cncx dimer was used for further analysis. Stable complexes are formed when alkali metals are doped on the cncx dimer [102].

Theoretical investigations were conducted on the interaction between alkali metals and dimer, considering all potential positions three geometries were optimized(one for each alkali metal). These complexes  $(AM@cncx)$  possess the C1 point group. The optimized shapes of dimer and the alkali metal-doped dimer  $(AM@cncx)$  where  $AM = Li$ , Na, K) are shown in **Figure 4.2.**



<span id="page-30-0"></span>Figure 4.2: The optimized geometries of cncx-dimer and AM@cncx complexes  $(AM = Li, Na, K)$ 

By calculating interaction distances (d<sub>C-AM</sub>), we assessed the separation between metals and neighboring carbon atoms in cncx. The interaction distances range from 2.35  $\AA$  to 3.0 Å for AM@cncx.

<span id="page-31-1"></span>Table 4-1: Symmetry, Interaction energies (kcal/mol) and Average interaction distances  $(A)$ , of cncx-dimer and AM $@$ cncx complexes

Complex	<b>Symmetry</b>	Eint (kcal/mol)	$d_{AM-C}(\AA)$			
cncx	C <sub>1</sub>	$-20$	3.66			
$Li(\omega)$ cncx	C <sub>1</sub>	$-34.5$	2.35			
Na@cncx	C <sub>1</sub>	$-23.8$	2.61			
C <sub>1</sub> $K(a)$ cncx		$-22.2$	3.0			

**dAM-C=Distance between interacting metal and carbon atom**

With the increase in atomic no, the interaction distance increases. The interaction distance tends to be greater for large size atoms due to significant repulsion caused by extensive electronic cloud. when the atomic size increases the charge is dispersed over a larger sphere and binding interactions weakens [103].This may lead to the increase of the distance between the dimer. Doping corannulene dimers with alkali metals, especially the larger ones, may induce an expansion of the distance between the dimer, owing to a combination of repulsive forces between the alkali metal and potential changes in electrostatic and van der Waals interactions.

The distance 2.35Å was observed in  $Li(\omega)$  cncx with the interaction energy of -34.5 *kcal/mol* with the decrease in distance the interaction energy increases [104].

The theoretical calculations yielded interaction energies of -34.5, -23.8, and -22.2 *kcal/mol* for the AM@cncx complexes.

#### <span id="page-31-0"></span>**4.2 Natural bond orbital (NBO) analysis**

NBO confirm the amount of charges which is being transferred from alkali metal to dimer. During NBO analysis the negative charges show the charge is being accepted by the species and the positive charge shows the charge is being transferred from that specie. In all the complexes the positive charges are present on the metals and the negative charges are present on the dimer which shows the transference of electrons from metals to dimer. The corannulene buckybowl is well-known for its ability to accept electrons [45]. NBO charges on the alkali metals ranges from 0.882 to 0.938 *|e|* in all complexes as detailed in **Table 4.2.** The maximum amount charge transfer (0.938 *(e)* is observed in K@cncx complex. These results show that potassium is transferring its maximum electron density to the dimer.

#### <span id="page-32-0"></span>**4.3 Electronic Properties**

The energies and isodensities of the highest molecular orbitals (HOMO) and lowest unoccupied molecular orbitals (LUMO) were determined. In its original state, corannulene exhibits a substantial energy gap of 7.4 *eV*, imposing limitations on its utility in optoelectronic devices. The energy gap  $(E_g)$  is important to conductance, semiconductance, and insulation properties of the complexes [6]. The energies of HOMO and LUMO and energy gap (Eg) are presented in **Table 4.2.**

<span id="page-33-0"></span>Table 4-2: NBO charges, Energy gap (Eg), and the energies of HOMO and LUMO of cncx-dimer and AM@cncx complexes

<b>Complexes</b>	<b>HOMO</b> (eV)	<b>LUMO</b> (eV)	$E_{\rm g}$ (eV)	<b>NBO Charges</b> $Q_{AM}$  e		
cncx	$-7.76$	$-0.32$	7.44			
$Li(\widehat{a})$ cncx	$-4.35$	$-0.67$	3.68	0.89		
Na@cncx	$-4.16$	$-0.79$	3.38	0.88		
$K$ ( <i>a</i> ) cncx	$-4.01$	$-0.84$	3.17	0.94		

HOMO-LUMO energy gap  $(E_g)$  is less in all the metal complexes. The range of energy gap is from 3.17 to 3.68 *eV*, which is less as compared to the E<sup>g</sup> of the dimer, which is 7.44 *eV.* The diffuse electrons of the alkali metals cause a rise in the energy level of HOMO. Among the complexes, K@cncx shows the most substantial reduction in Eg, reaching a minimum of 3.17 *eV.* The percentage reduction of energy gap is 57.52% in K@cncx while Li@cncx exhibits the lowest percentage reduction of Eg (50.67%). In Li@cncx, the *E<sup>g</sup>* is the highest at 3.68 *eV*, followed by Na@cncx at 3.38 *eV*. HOMO -LUMO densities are presented in **Figure 4.3.**

#### **HOMO LUMO Complexes**

**cncx**









Figure 4.3: Frontier molecular orbitals of dimer and alkali metal doped complexes.

In all the alkali metal-doped dimers, HOMO densities lie on the convex, and LUMO density lies on the concave side of dimer. This indicates that the dimer is involved in the creation of a new HOMO.

#### <span id="page-35-0"></span>**4.4 QTAIM analysis**

To assess the intermolecular interactions between the dimer, the quantum theory of atoms in molecules (QTAIM) was carried out [105-107]. Different topological parameters are used to identify the bond critical points (BCPs).These parameters are energy density G(r) electronic potential energy density V(r) electron density *(ρ) and*  Laplacian of electron density ( $\vec{r}$  $\rho$ ). Strong covalent interactions are indicated by an a - $V(r)/G(r)$  ratio < 0.5, a negative  $\nabla^2$  electron density  $(\rho) > 0.1$  *au*. when the  $\rho < 0.1$  *au*, the -V(r)/G(r) >0.5 and  $\nabla^2 \rho$  is positive then the week van der Waals interactions will exist. The equations provided can be used to represent Bond Critical Points (BCPs)

$$
H_r = G_r + V_r \tag{1}
$$

$$
\left(\frac{1}{4}\right)\Delta^2 \rho_r = 2G_R + v_r \tag{2}
$$

When analyzing the values of different topological parameters for all AM@cncx complexes, it is concluded that weak van der Waals interactions exist between the dimers, as outlined in

<span id="page-35-1"></span>Table 4-3:  $\rho$ ,  $\nabla^2 \rho$  V(r), -V(r)/G(r) and G(r) parameters at BCPs of cncx-dimer and AM@cncx complexes







	$K-C13$	0.0128	0.0525	0.0109	$-0.0087$	0.0021	1.2529
	$K-C2$	0.0107	0.0420	0.0087	$-0.0070$	0.0017	1.2428
	$K-C3$	0.0107	0.0417	0.0087	$-0.0070$	0.0017	1.2428
	$C60-C7$	0.0050	0.0153	0.0030	$-0.0022$	0.0007	1.3636
	$C60-C7$	0.0091	0.0295	0.0058	$-0.0042$	0.0015	1.380

Topological diagrams with BCPs marked in orange for dimer and all AM@cncx complexes are presented in **Figure 4.4.**









<span id="page-38-0"></span>Figure 4.3: Topological diagrams of cncx-dimer and AM@cncx Complexes

#### <span id="page-39-0"></span>**4.5 IRI Analysis**

By employing interaction region indicator (IRI) analysis, we can computationally explore and comprehend the different interactions occurring within and between molecules. This method involves visualizing the overlap of electron density in different regions, utilizing both 3D surfaces and 2D IRI graphs. The interaction region indicator (IRI) allows us to detect covalent and noncovalent interactions equally, and it comes with a lower computational cost [108]. IRI can be expressed as:

$$
IRI(r) = \frac{|\nabla \rho(r)|}{[\rho(r)]^a}
$$
 (8)

Where  $\alpha = 1.1$ . IRI. sign $(\lambda_2)\rho$  =second largest eigenvalue of Hessian of  $\rho$  gives the information about the different types of interactions.

Areas with relatively high ρ, signifying a strong interaction. On the contrary, regions with low  $\rho$ , suggesting a weak or negligible interaction, will have a small sign  $(\lambda_2)\rho$ , possibly due to a very weak interatomic (vdW) interaction.

The nature of interactions shown on IRI isosurfaces can be easily identified by examining the colors mapped from sign*(λ <sup>2</sup>)ρ*, as illustrated below in **Figure [4.4.](https://chemistry-europe.onlinelibrary.wiley.com/doi/full/10.1002/cmtd.202100007#cmtd202100007-fig-0001)**:



Figure 4.4: Coloring scheme of sign( $\lambda_2$ ) p on IRI isosurfaces isosurfaces

<span id="page-39-1"></span>The analysis of 3D shapes and 2D maps give insights into the covalent and non-covalent interactions present in AM@cncx complexes. Usually, 3D isosurfaces display different colors like blue, green, and red, representing noncovalent, attractive, and repulsive interactions. IRI maps with spikes at  $sign(\lambda_2)$   $\rho$  less than  $\theta$  indicates repulsive forces, whereas those with  $sign(\lambda_2)$  *ρ* greater than *0* point to weak forces known as dispersive.

The interactions between alkali metals and concave-convex dimer were explored through the generation of isosurfaces and IRI graphs. In the graphs of AM@cncx complexes, green patches signify weak dispersive forces, like van der Waals interactions. The spikes observed around the  $(\lambda_2)$  *ρ* region (approximately -0.04 and 0.005 au) denotes week van der Waals interactions (refer to **Figure 4.5**).



Figure 4.5: 3D Isosurfaces and 2D- graphs of cncx-dimer and AM@cncx Complexes

#### <span id="page-42-0"></span>**4.4 Density of states (DOS) analysis**

Location of newly created HOMO and the contributions of each fragment in creating these new HOMO, TDOS and PDOS analyses were carried out. To deepen our comprehension of doped complexes, we conducted a density of states analysis, focusing on frontier molecular orbitals (FMO), electronic properties, and energy changes. In the DOS spectra, dotted line representing the newly formed HOMO. The PDOS spectra clearly indicate that dimer plays a significant role in generating new HOMOs. In the AM@cncx dimer, the main contribution comes from the cncx dimer. The newly formed HOMOs in all complexes have higher energy levels, lies between -4 and -5 *eV*, unlike the -8.0 *eV* energy of the HOMO of dimer. The PDOS and TDOS spectra for dimer and the AM@cncx materials are shown in **Figure 4.6**. It is predicted that the introduction of these new HOMOs will play a part in lowering the Eg and enhancing the nonlinear properties.





<span id="page-43-0"></span>Figure 4.6: Plots of density of state (DOS) of cncx-dimer and AM@cncx

#### <span id="page-44-0"></span>**4.5 Molecular Electrostatic Potential (MEP)**

MEP is useful for predicting hydrogen bonding interactions and used to indicate the regions that act as nucleophilic and electrophilic [109]. Its indirect involvement is noted in various studies that explore the relationships between structure and properties.

MEP analysis of dimer and alkali metal doped complexes reveals negative (red coded) and positive (blue coded) regions, as depicted in **Figure 4.7**. The dimer exhibits distinct electrophilic and nucleophilic sites. The colour gradient, using blue, and green, illustrates negative, and positive potential regions, respectively. The MEP of dimer represents the negative charge on the concave side and a positive charge on the convex face. In alkali metal-doped complexes, the green region on the concave side indicates an electron-deficient region resulting from electron transfer during complex formation.

<span id="page-44-2"></span>

Figure 4.7: Molecular electrostatic potential plots for cncx-dimer and AM@cncx complexes

#### <span id="page-44-1"></span>**4.6 Time-Dependent Density Functional (TD-DFT) Calculations**

TD-DFT was carried out to know about transparency and potential use of designed complexes. The properties of electronically excited states (ES), transparency of the designed complexes and energies of complexes can be assessed through this approach. TD-DFT analysis revealed the absorption properties of complexes.

<span id="page-45-0"></span>Table 4-4: Absorption wavelength, Excitation energy *and oscillator strength* of cncx-dimer and AM@cncx complexes



Absorption analysis provided a comprehensive examination of the absorption region in the AM@cncx complexes. The absorption region range is 217-576 *nm*, so the designed complexes are UV transparent.

The absorption maxima  $(\lambda_{max})$  of AM@cncx complexes exceed the maximum absorption of pure cncx. The absorption spectra of each  $AM@cncx$  complex demonstrates a shift toward longer wavelengths in comparison to the pure cncx dimer. All the metal doped complexes absorb in the visible range. Significant transitions are noted at 568, 573, and 576 *nm* with corresponding oscillator strengths *(f<sub>o</sub>)* of 0.076, 0.081, and 0.048 for Li@cncx, Na@cncx and K@cncx respectively. For K@cncx  $\lambda_{\text{max}}$ of 576.26 *nm* is observed with the lowest excitation energy*(∆E)*, of 2.15 *eV*. Absorption wavelength shows the designed complexes are considered as UV transparent [110]. UV-VIS spectrum of all complexes (AM@cncx) are presented in **Figure 4.8.**



Figure 4.8**:** UV–Vis spectra of undoped cncx-dimer and AM@cncx

#### <span id="page-46-1"></span><span id="page-46-0"></span>**4.7 Nonlinear optical analysis**

The excess electrons from alkali metals contribute to enhanced polarization, more charge transfer, leading to the rise in the dipole moment, as well as nonlinear optical characteristics of the AM@cncx complexes. The dipole moment of cncx-dimer is 4.65 *D*.

The dipole moments of complexes range from 3.08 *D* to 5.79 *D*. Among them, the Na@cncx complex exhibits the highest dipole moment at 7.88 *D*, while the lowest dipole moment (3.08 *D*) was observed in Li@cncx as detailed in **Table 4.5**.

<span id="page-47-0"></span>Table 4-5:  $\Delta \mu$  (D),  $\alpha_o$  (a.u),  $\beta_o$  (a.u) and  $\beta_{\text{Vec}}$  of cncx-dimer and AM@cncx complexes



The dimer shows the polarizability up to 407 *au* whereas the range for metal doped complexes is 460 *au* to 476 *au.* This observation is consistent with findings in previous literature, supporting the idea that alkali metal doped dimer exhibit an enhanced nonlinear response [111].

C<sub>20</sub>H<sub>10</sub> has (β<sub>0</sub>) equal to 74 *au*. Li-C<sub>20</sub>H<sub>10</sub> exhibits a  $β$ <sub>*0*</sub> value of 4547 *au*. when lithium is doped on the convex side of corannulene, and 501 *au* when the metal is doped on the concave side of corannulene. Introducing alkali atoms into the cncx dimer has a notable impact, greatly enhancing the system's  $α$  and  $β$ . The compound's curved structure leads to significant dipole moments, contributing to heightened  $\pi-\pi$  intermolecular interactions [112].

The experimental and theoretical evaluation of *β<sup>0</sup>* is done by analyzing Hyper-Rayleigh scattering (HRS) [113, 114].  $\beta$ <sub>HRS</sub> lies in the range from  $2.1 \times 10^2$  to  $4.8 \times 10^4$  *au* as outlined in **Table 4.6**. The highest first hyperpolarizability value for  $Li(\omega)$  cncx complex was compared with other nonlinear optical (NLO) molecules that have a similar magnitude of hyperpolarizability. Our findings reveal that the  $Li(\omega)$  complex, possess first hyperpolarizability of  $9.3 \times 104$  au, It becomes evident that the highest first hyperpolarizability within the studied complexes, measuring  $9.3 \times 104$  au, is comparable to the previously reported values of  $2.31 \times 104$  au,  $2.85 \times 103$  au, and 3.09  $\times$  103 au for lithium-doped decaborane (Li@B10H14) and halide ion complexes of decaboranes (I−@B10H14 & I−@2,4-I2B10H12) [19]. Alkali metal doped complexes with significant  $\beta$ o values are considered more attractive as NLO candidates.

In addition to examining static hyperpolarizability, simulations were conducted to explore the dynamic hyperpolarizability  $(\beta \ (\omega))$ , of the designed complexes. These simulations were performed at standard laser wavelengths 1034 *nm*, 1550 *nm*, and 1907 *nm*. EOPE (electro-optical Pockel's effect) and SHG (second harmonic generation) were calculated. For all AM@cncx complexes, the values of EOPE β *(−ω, ω,0)* and SHG β *(-2ω; ω, ω)* was increased..

<span id="page-48-0"></span>Table 4-6: Frequency dependent hyperpolarizability values for of cncx-dimer and  $AM(\hat{\omega})$ cncx complexes at different wavelengths ( $\lambda$  values in nm and all other values in au)





Frequency dependent hyperpolarizability values, including EOPE *(-ω, ω, 0)* and SHG*(- 2ω, ω, ω*)), at three laser wavelengths 1340 *nm*, 1550 *nm*, and 1064 *nm*. The EOPE values, reaching substantial magnitudes, extend from  $8.9 \times 10^2$  au to  $4.6 \times 10^5$  au across all mentioned wavelengths. Likewise, SHG values exhibit an increase, ranging from  $3.6 \times 10^3$  au to  $3.4 \times 10^4$  au at all mentioned wavelengths, as outlined in **Table 4.7** 

<span id="page-49-0"></span>Table 4-7: EOKE & EFISHG coefficients *(au),*  $\gamma^{DFWM}$  and nonlinear refractive indices  $(cm^2 W^1)$ 





Considering all the studied metal-doped complexes, the values of the estimated electrooptic Kerr's effect(  $γ$ (-ω; ω, 0, 0) EOKE) varied between  $1.1 \times 10^5$  to  $4.8 \times 10^7$  au at 1340 nm, the range is  $7.3 \times 10^3$  to  $7.3 \times 10^8$  at 1550 nm, and at 1907 nm from  $1.1 \times 10^5$ to  $1.0 \times 10^{10}$  *au*. For all the studied alkali metal-doped complexes, the Electric-fieldinduced second-harmonic generation (*γ(-2ω; ω, ω, 0)* EFISHG) values were in the range of 7.9  $\times$  10<sup>4</sup> to 4.2  $\times$  10<sup>6</sup> *au* at 1340 *nm*, from 1.1  $\times$  10<sup>5</sup> to 6.9  $\times$  10<sup>7</sup> *au* at 1550 *nm*, and from  $1.1 \times 10^5$  to  $6.9 \times 10^7$  *au* at 1907 *nm*. It is evident from the results (**Table 4.7**) that Li@cncx complex has the maximum value of EFISHG (6.9×10<sup>7</sup> *au*) at 1550 *nm* and Na@cncx has maximum EOKE (1.0  $\times$  10<sup>10</sup> *au*) coefficients at 1907 *nm*.

Additionally, the calculation of quadratic nonlinear refractive indices  $(n_2)$  for all designed NLO complexes have been conducted [115]. the quadratic nonlinear refractive index values was computed to know the potential applications of the designed complexes [116].

$$
n2\left(\frac{cm^2}{W}\right) = 8.28 \times 10^{-23} \gamma D F W M
$$

The quadratic nonlinear refractive indices were increased for all the  $AM@cncx$ complexes, as detailed in **Table 4.7** Its value vary with different wavelength, the value range for cncx is  $(6.0 \times 10^{-13} \text{ au to } 9.9 \times 10^{-18} \text{ au})$ , for Li@cncx  $(6.6 \times 10^{-13} \text{ au to } 1.0 \times 10^{-15} \text{ au})$ <sup>16</sup> *au*), for Na@cncx(5.6×10<sup>-19</sup> *au*-8.2×10<sup>-13</sup>). The study observes a significant increase in nonlinear optical response with wavelength variation, especially in the nonlinear refractive index for all designed complexes.

# **Chapter 5 Conclusion**

<span id="page-51-0"></span>In this work, the geometrical, electrical, and nonlinear optical (NLO) properties of dimer, especially when doped with alkali metals, were analyzed by DFT. The results show that doping with alkali metals significantly improves the dimer's NLO response. The interaction energies computed computationally, which range from -22.2 to -34.5 *eV*, confirm that the newly developed alkali metal-doped dimers are stable. Significant charge transfer from alkali metals to the cncx dimer is revealed by NBO analysis, with the  $K(\hat{\omega})$  cncx complex showing the largest charge transfer.

<span id="page-51-1"></span>Moreover, doping the complexes with metal atoms significantly lowers their HOMO-LUMO energy gap by as much as 3.17 *eV*. The participation of metal and dimer in the creation of new HOMO states in the presence of excess electrons is confirmed by the PDOS spectra of doped complexes. The alkali metal-doped complexes show significantly larger static hyperpolarizabilities *(β0)* than the undoped dimer in the  $3.0 \times 10^4 - 9.3 \times 10^4$  *au* range. Li@cncx is unique among these complexes, having the highest thermodynamic stability and the highest hyperpolarizability value of  $9.3 \times 10^4$ *au*. The study also determines frequency-dependent Second Harmonic Generation (SHG), Electric-Optical Pockels Effect (EOPE), electro-optic dc-Kerr effect (EOKE). The value of  $3.4 \times 10^4$  *au* is observed for SHG, while for EOPE the value is  $4.6 \times 10^5$  *au*. A significantly enhanced EOKE value  $(1.0 \times 10^{10} \text{ au})$  is shown by Na@cncx. Additionally, these structures exhibit a high nonlinear quadratic refractive index (a maximum value of  $9.9 \times 10^{-18}$  cm<sup>2</sup> W<sup>-1</sup>). The study concludes by predicting that NLO materials with exceptional performance and outstanding NLO response will be produced by alkali metal dopants.

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